

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Samir ZARD et al.

New U.S. Patent Application

Filed: August 30, 2006

Docket No.: 129168

For: METHOD OF PREPARING BENZAZEPINES AND DERIVATIVES THEREOF

**IAP5 Rec'd PCT/PTO 30 AUG 2006**

**10/591175**

INFORMATION DISCLOSURE STATEMENT

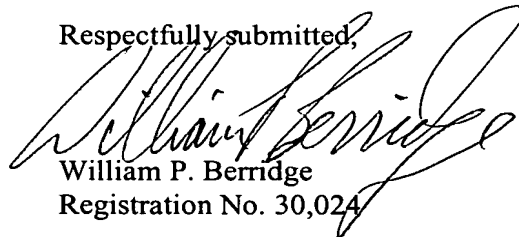
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☒ 2. One or more reference cited herein was cited in the International Search Report. An English language version of the International Search Report is attached for the Examiner's information. See References 2-6.
- ☒ 3. In accordance with 37 CFR §1.98(a)(2)(ii), copies of any U.S. patents and patent application publications are not attached.
- ☒ 4. An English language Abstract of one or more non-English language reference is attached hereto. See Reference 2.
- ☒ 5. Reference 1 corresponds to reference 2.

Respectfully submitted,

  
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WPB:jdb

Date: August 30, 2006

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<p><b>DEPOSIT ACCOUNT USE AUTHORIZATION</b> Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461</p>
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10/591175

Form PTO-1449 (REV. 1/06)		US Dept. of Commerce PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. 129168		APPLICATION NO. New U.S. Patent Application	
INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)				APPLICANTS Samir ZARD et al.			
				FILING DATE August 29, 2006			
U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.	Document Number	Date	Name			
	1	6,369,221 B1	4/9/2002	Hardy et al.			
FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.	Document Number	Date	Country	With English Abstract	With English Translation	
	2	WO 99/05147 A	2/4/1999	WIPO	X		
OTHER DOCUMENTS							
Examiner Initials	Cite No.	(Including Author, Title, Date, Pertinent Pages, etc.)					
	3	L. A. Sorbera et al., "Tolvaptan," Drugs of the Future, Vol. 27, No. 4, 2002, pp. 350-357.					
	4	C. Hauser et al., "Condensations of Benzhydryl Methyl Ether Involving $\alpha$ -Hydrogen by Potassium Amide. Cyclization of Conjugate Addition Product by Polyphosphoric Acid," Journal of Organic Chemistry, 1958, 23, pp. 233-235.					
	5	A. Vargas et al., "Total Synthesis of 10-Norparvulenone and of O-Methylasparvenone Using a Xanthate-Mediated Free Radical Addition-Cyclization Sequence," Organic Letters, Vol. 5, No. 20, 2003, pp. 3717-3719.					
	6	S. Sasatani et al., "Diisobutylaluminum Hydride. A Novel Reagent for the Reduction of Oximes," Tetrahedron Letters, Vol. 24, No. 43, 1983, pp. 4711-4712.					
EXAMINER				DATE CONSIDERED			
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Date: August 30, 2006